

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

**PATENT  
APPLICATION**

**COMPLETION Under  
Rule 53(f)**

In re **PATENT APPLICATION** of

Inventor(s): **COMPTER et al.**

Appln. No.: **10** | **098,612**  
Series Code  $\uparrow$  | Serial No.  $\uparrow$

Attn: **Application Division**  
Atty.Dkt. **P** | **290797** | **P-0237.010-US**  
M# | Client Ref

Filed: **March 18, 2002**

Title: **LITHOGRAPHIC PROJECTION APPARATUS POSITINING SYSTEM, METHOD OF MANUFACTURING,  
DEVICE MANUFACTURED THEREBY AND COMPUTER PROGRAM**

Hon. Commisioner of Patents  
Washington,DC 20231

Date: **June 3, 2002**

Sir:

The following **completes the filing** under **Rule 53(f)** of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. ☐ #            pages of Specification(only spec. & claims)
  - c. ☐ Drawing(s)                                   
No of Sheets
  - ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.

5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in EUROPE

Application No.	Filing Date	Application No.	Filing Date
(1) <b>01302573.9</b>	<b>20 MARCH 2001</b>	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. 1 (No.) Certified copy (copies): ☐ attached; ☒ previously filed (date) March 18, 2002  
in U.S. Application No. 10/098,612 filed on March 18, 2002

10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☐ Attached:

12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code	
13. Basic Filing Fee . . . . . Design Application				\$330/\$165		106/26	
Not Design Application				\$740/\$370	+740	101/201	
14. Total Effective Claims	13	minus 20 =	0	x \$18/\$9	+0	103/203	
15. Independent Claims	4	minus 3 =	1	x \$84/\$42	+84	102/202	
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line <u>blank</u> if this is a <u>reissue</u> application)				\$280/\$140	+0	104/204	
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205	
18.				FILING FEE ENCLOSED = \$954			
19. <b>Original due date:</b> June 22, 2002							
20. <b>Petition is hereby made</b> to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =		116/216
is attached				(3mos)	\$920/\$460 =		117/217
				(4mos)	\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .				\$130	+0	139	
22. If "assignment" box 5 is X'd, add recording fee. . . . .				\$40	+40	581	
23. Petition Fee for				\$130	+0		
24.				TOTAL FEE ENCLOSED = \$994			

Our Deposit Account No. 03-3975

Our Order No. 81468

290797

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. **This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Winthrop LLP  
Intellectual Property Group**

1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

By Atty: Jack S. Barufka

Reg. No. 37087

Sig: 

Fax: (703) 905-2500  
Tel: (703) 905-2012

Atty/Sec: JSB/rsp

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/098,612	03/18/2002	Johan C. Compter	P290797 P-0237.010-US

CONFIRMATION NO. 3668

## FORMALITIES LETTER



\*OC00000007922891\*

00909  
PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
FARMER, VA 22102

Date Mailed: 04/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of \$84 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$954 for a Large Entity

06/05/2002 HBIZUNES 00000020 033975 10053512  
01 FC:101 740.00 CH  
02 FC:105 130.00 CH  
03 FC:102 84.00 CH

- \$740 Statutory basic filing fee.

1/9/02

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$84**
  - **\$84** for 1 independent claims over 3.

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE